

## IPA III – Model of Operation Identification Sheet

*(To be used for non-major projects<sup>1</sup> - max 6 pages)*

- 1) Title of the Operation:
- 2) Managing Authority:
- 3) Intermediate bodies responsible for the Implementation of the Operation:
- 4) Compatibility and coherence with the Operational Programme
  - 4.1 Title of the programme
  - 4.2 Title of the relevant Area of support
- 5) Description of the Operation
  - 5.1 Contribution to the achievement of the Operational Programme: *Describe the operation, its background, how the operation contributes to the achievement of the objectives of the Operational Programme and of the relevant area of support*
  - 5.2 Overall Objective of the Operation: *Explain in one sentence*
  - 5.3 Specific Objectives of the Operation: *Explain in one sentence*
  - 5.4 Outputs *(please indicate the outputs of this operation and how they relate with the ones of the Multi-Annual Operational programmes of the relevant areas of support)*
  - 5.5 Indicative activities:
  - 5.6 Indicators: *Please use indicators that are relevant for the action from the IPA III Results Framework<sup>2</sup>.*
  - 5.7 Indicative location(s):
  - 5.8 Duration: *Duration of the operation cannot exceed the final date of eligibility of expenditure set in the Financing Agreement*
  - 5.9 End recipients and target group(s): *identification of the category and groups of institutions, organisations and social and economic partners who will be eligible for support under each activity.*
- 6) Implementation arrangements
  - 6.1 Institutional framework: *Institutional arrangements foreseen for the implementation of the operation, e.g. operation coordination unit, steering committee, regional and/or provincial authorities, technical assistance team*
  - 6.2 Proposed monitoring structure and methodology: *Who will be responsible for monitoring of the operation, how will the operation be monitored, what will be the workflow and reporting lines*
- 7) Maturity
  - 7.1 Required procedures and contracts for the implementation of the operation and their sequencing:  
*List the type of procedures (call for proposals, direct implementation by national institutions without prior call for proposals, direct agreements with international organisations, etc) and the corresponding contracts (grant contracts, contribution agreements with international*

---

<sup>1</sup> Thresholds for major projects are indicated in the Financial Framework Partnership Agreement – Art. 16

<sup>2</sup> [SWD 2022 445 1 EN document travail service part1 v2.pdf \(europa.eu\)](#)

organisations, services, supplies, works, etc) for the proposed activities, together with their timeline and sequencing, including expected start of tendering, expected start of operation and its duration. Please add a justification for each implementation modality indicated, especially in case direct grants or contribution agreements with International Organisations are foreseen.

## 7.2 Supporting documents

For each of the type of procurement procedures mentioned in 7.1) please indicate what supporting documents are available (i.e tender dossiers, terms of reference, technical specifications, calls for proposals, draft twinning fiche), including, if applicable, for infrastructure projects, design studies, environmental impact assessment (EIA), cost-benefit analysis (CBA), etc.

### 8) Risks and assumptions

### 9) Sustainability:

Include details on the sustainability of the Operation, i.e., if and to what extent the benefits/results (outputs and outcomes) achieved are likely to continue beyond its implementation period. In particular, the section should include at least some of the key factors impacting on sustainability, such as the level of ownership of the beneficiaries, their institutional management capacities, resources that they commit to provide for the operation and maintenance of the results once the Operation is completed.

### 10) Gender equality and empowerment of women and girls, equal opportunity, Roma, minorities and vulnerable groups (where relevant):

Please indicate how the project contributes to the promotion of gender equality and women/girls empowerment. Define if the project is gender sensitive. Was a gender impact analysis conducted? If yes, provide in brief the outcomes of the analysis and specify the measures that have been identified to ensure gender equality principle. If not, an explanation/justification should be provided.

Please identify the stakeholders (public and/or non-state actors) most affected by the issues to be addressed by this action, their roles and mandates and any institutional, organisational and/or capacity issues to be covered by the action. Specific attention should be paid to the most vulnerable groups – including Roma - who could be positively or negatively affected by the action, including risks of doing harm (not least in fragile and conflict-affected settings), as well as the stakeholders representing them

### 11) Requested financing from the European Commission:

(The Union contribution should not exceed 85% at the level of the area of support)

### 12) Co-financing: (please identify expected total contribution by source)

### 13) Budget breakdown:

(Indicative, per type of procurement procedure, including estimated total cost, IPA contribution, national public contribution and private contribution)

### - Only for operations including infrastructure projects -

### 14) Financial Analysis and Economic Appraisal

(if applicable - Please refer also to guidance contained in the corresponding section 3.3.2 of the Major Project application for reference)

Please present the key elements of the financial analysis, including the estimated Operating costs and Revenues, the affordability and Financial Sustainability of the proposed investment, the economic analysis (including the methodology used, the main findings and the main cost, benefits and indicators such as the social discount rate, the economic rate of return, the economic net present value and the benefit-cost ratio)

15) Environmental Impact Assessment

*(if applicable - please refer to the corresponding sections 4.3 and 4.4. of the Major Project application for reference) –*

*Indicate the main impacts on the environment and human health expected from the project, and the measures that will be implemented to avoid and/or minimise such impacts. Make a distinction between impacts from the construction, operation and decommissioning phases.*

Has development consent already been given to this project?

If yes, on which date?

If no, when was the formal request for the development consent introduced and by which date is the final decision expected?

*Specify the competent authority or authorities, which has or have given or will give the development consent. Summarise the results of the consultations with the public concerned.*

Is the project a class of development covered by:

|   | Yes | No |
|---|-----|----|
| Annex I of the Directive  |     |    |
| Annex II of the Directive   |     |    |
| Has an Environmental Impact Assessment been carried out for this project? |     |    |
| Neither of the two annexes  |     |    |

|   | Yes | No |
|---|-----|----|
| Is the project likely to have (direct or indirect) effects, individually or in combination with other plans or projects on sites included or intended to be included in sites of nature conservation importance |     |    |
| Are these effects significant negative, requiring compensation measures according to Article 6 (4) of the Habitats Directive  |     |    |

| <i>Effects on the ecological status of water bodies</i>  | Yes | No |
|--|-----|----|
| Does the project involve a new modification to the physical characteristics of a surface water body or alterations to the level of bodies of groundwater which deteriorate the status/potential of a water body or cause failure to achieve good water status/potential? |     |    |

16) Climate-resilience and climate mitigation

*[Please refer to the corresponding section 4.7 of the Major Project application for reference]*

*Explain the main climate related risks, and how they are going to be addressed. If possible, use the Building Resilience Index. Explain the methodology applied. List the adaptation measures identified and how they are integrated into the project. Clarify if climate change resilience has been integrated into the EIA for the project.*

*Indicate the main impacts on climate expected from the project, and the measures that will be implemented to avoid and/or minimise such impacts. Make a distinction between impacts from the construction, operation and decommissioning phases. Indicate the climate vulnerability of the project and what measures will be implemented to ensure its climate proofing.*