

IPA III - APPLICATION FOR MAJOR PROJECT¹

1. SYNOPSIS

1.1. Project Summary Table

Project Title	[title]
Multi-annual Operational Programme	Multi-annual Operational Programme on [sector (s). i.e., environment] in favour of [beneficiary country] for [years]
	Adopted with Commission decision [# and date]
	OPSYS/PINTV Ref : [# In case the Major projects will exist as separate entities in OPSYS]
	JAD Ref : [#] ACT Ref : [#]
Basic Act / Programming Ref.	Financed under the Instrument for Pre-accession Assistance (IPA III) IPA III Programming Framework
Economic and Investment Plan (EIP)	[Yes/No]
EIP Flagship	<If yes, specify the thematic priority(ies) ² and the share of the Priority Area (PA) %> ³ [Yes/No] [Priorities: “Transport”, “Energy”, “Environment and climate resilience”, “Digital”, “Economic development (incl. private sector, trade and macroeconomic support)”, “Human Development (incl. human capital and youth)”, “Health resilience”, “Migration and Mobility”, “Agriculture, food security and rural development”, “Rule of law, governance and Public administration reform”, “Other”. Flagships: “I Connect East-West”, “II Connect North-South”, “III Connect Coastal Regions”, “IV Renewable Energy”, “V Coal Transition”, “VI Renovation Wave”, “VII Waste and Waste Water”, “VIII Digital Infrastructure”, “IX Support Competitiveness”, “X Youth Guarantee”]
Team Europe	Yes/No

¹ How to fill in the application form:

Guidance in yellow – follow the guidance, enter the requested information or address the subject, then delete the guidance in yellow with the brackets

Guidance in grey – choose the relevant options and delete the irrelevant ones.

² Priority areas: 1) Transport (linked to IPA III Tag "Sustainable Transport), 2) Energy (linked to IPA III Tag "Clean Energy"), 3) Environment and climate resilience (linked to IPA III Tag "Green Agenda"), 4) Digital (linked to IPA III Tag "Digital Agenda"), 5) Economic development (incl. private sector, trade and macroeconomic support) (linked to IPA III Tag "Boosting Private Sector Development" and "Regional Economic Integration"), 6) Human Development (incl. human capital and youth) (linked to IPA III Tag "Human Capital" and "Innovation"), 7) Health resilience, 8) Migration and Mobility, 9) Agriculture, food security and rural development, 10) Rule of law, governance and Public administration reform (linked to IPA III Tag "Rule of Law, Good Governance and PAR"), 11) Other.

³ The share of the priority areas should be linked to the NEAR EIP sub-tags: Transport, Energy, Environment and climate resilience, Digital, Economic development (incl. private sector, trade, and macroeconomic support), Human Development (incl. human capital and youth), Health resilience, Migration and mobility, Agriculture, food security and rural development, Rule of law, governance and public administration reform, Other.

Beneficiary(y)/(ies) of the action	The action shall be carried out in <Region>, <Country>,<location(s) if available> If relevant, mention the extended geographical coverage as indicated in section 1.3 below.			
PRIORITY AREAS				
Window and thematic priority	Window: [#: name of the window] Thematic Priority: [name of the thematic priority]			
Sustainable Development Goals (SDGs)	Main SDG (1 only): Other significant SDGs (up to 9) and, where appropriate, targets:			
DAC code(s)⁴	<Main DAC code – sector- percentage> <Sub-code 1 – sector-percentage> <Sub-code 2 – sector- percentage> etc.			
Main Delivery Channel @	<Channel 1><Channel code> <i>example: World Bank - 44001</i>			
Targets	<Please indicate (if relevant) to which spending target(s) this action is contributing to (indicatively) > <input type="checkbox"/> Climate <input type="checkbox"/> Gender <input type="checkbox"/> Biodiversity			
Markers ⁵ (from DAC form)	General policy objective	Not targeted	Significant objective	Main objective
	Participation development/good governance	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Aid to environment	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Gender equality and women’s and girl’s empowerment	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Reproductive, maternal, new-born and child health	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Disaster Risk Reduction	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Inclusion of persons with Disabilities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Nutrition ⁶	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	RIO Convention markers	Not targeted	Significant objective	Main objective
	Biological diversity	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Combat desertification	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	

⁴ Development Assistance Committee (DAC) sectors (codes and descriptions) are indicated in the first and fourth columns of the tab ‘purpose codes’ in the following document: [DAC and CRS code lists - OECD](#)

⁵ For guidance, see <https://www.oecd.org/development/financing-sustainable-development/development-finance-standards/> Go to “Data collection and resources for reporters”, select Addendum 2, annexes 18 (policy) and 19 (Rio) of the reporting directive.

If an action is marked in the DAC form as contributing to one of the general policy objectives or to RIO principles as a principal objective or a significant objective, then this should be reflected in the logframe matrix (in the results chain and/or indicators).

⁶ Please check the [Handbook on the OECD-DAC Nutrition Policy Marker](#).

	Climate change mitigation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Climate change adaptation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Internal markers⁷ and Tags	Policy objectives	Not targeted	Significant objective	Main objective
	Digitalisation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Tags ⁸	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	digital connectivity	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	digital governance	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	digital entrepreneurship	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	digital skills/literacy	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	digital services	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Connectivity	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Tags	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
digital connectivity	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
energy	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
transport	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
health	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
education and research	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Migration	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
Reduction of Inequalities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
COVID-19	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
BUDGET INFORMATION				
TOTAL project cost	EUR [amount]			
EU funding⁹	EUR [amount]			
Budget Line	Budget line: [15.020201]			
MANAGEMENT AND IMPLEMENTATION				
Implementation modality	Indirect management with [name of the IPA III beneficiary]			
Decommitment deadline for each budgetary commitment included in the Multi-annual Operational Programme¹⁰	Budgetary commitment [year n]: by 31/12/[year n+5] Budgetary commitment [year n+1]: by 31/12/[year n+1+5] Budgetary commitment [year n+2]: by 31/12/[year n+2+5] Budgetary commitment [year n+3]: by 31/12/[year n+3+5] Budgetary commitment [year n+4]: by 31/12/[year n+4+5] Budgetary commitment [year n+5]: by 31/12/[year n+5+5] Budgetary commitment [year n+6]: by 31/12/[year n+6+5] [last budgetary commitment can be at latest 2027]			
Indicative eligibility period	[31/12/n+5 from last budgetary commitment]			
Final date for implementing the Financing Agreement	[date as per the Commission decision of the multi-annual programme – should be 12 years following the conclusion of the Financing Agreement]			

⁷ These markers have a different scope/rationale than the DAC codes. They are drawn from the level of budget allocation and emphasis given to the action in terms main objective(s) selected. The definition of objectives, results, activities in description of the action should be in line with this section.

⁸ When a marker is Significant or Principal Objective, please indicate the relevant tags by selecting “YES” or “NO”.

⁹ As requested by the Major Project Application

¹⁰ As per the relevant Commission Decision

Managing Authority responsible for the application	Name: Address: Contact: Telephone: E-mail:
Intermediate Body for policy management (if applicable)	Name: Address: Contact: Telephone: E-mail:
Intermediate Body for financial management	Name: Address: Contact: Telephone: E-mail:
End recipient of the project (project owner after implementation)	Name: Address: Contact: Telephone: E-mail:

1.2. Summary of the Project - (max ½ page)

This section should provide a description of the overall and specific objectives of the action, its indicative activities and how this action is relevant to achieve the objectives of the Operational Programme.

2. PROJECT DESCRIPTION

2.1 Intervention Logic and Chain of Result

2.1.1 Project Context

[Describe briefly how the region(s) is/are at present endowed with the type of infrastructure covered by this application; compare it with the level of infrastructure endowment aimed for by target year 20XX. Summarise the main changes, which the project will bring.]

[Describe briefly the relevant National Investments Planning and/or Sector Policy/Strategy presenting the financing mechanism envisaged by the Government to implement this plan. and Indicate how this project is prioritised/ranked in the national investment planning /single project pipeline (if applicable). Indicate the synergies of the project with other local/regional/national or international initiatives/projects]

[Describe the institutional arrangements foreseen for the implementation of the operation and current capacities of the institutions involved. Information on the management bodies the completed infrastructures to be included in section 3.3.1.

[Include also details on sustainability of the Project, i.e., if and to what extent the benefits/results (outputs and outcomes) achieved are likely to continue beyond its implementation period. In particular, the section should include at least some of the key factors impacting on sustainability, such as the level of ownership of the beneficiaries, their institutional management capacities, resources that they commit to provide for the operation and maintenance of the results once the Project is completed.]

2.1.2 *Project's objectives, outputs and project's contribution to the Multi-annual Operational Programme*

[Describe the specific objectives and outputs of the project, and define the related performance indicators and targets. In a concise and precise manner, make a link with the objectives of the relevant Multi-annual Operational Programme and the indicators and targets defined in it¹¹. Describe to which indicators the project contributes to, and quantify this contribution.]

2.1.3 *Transboundary impact of the project*

	Yes	No
Has the project any immediate trans-border impact?	(1)	
Does the project have impact on the EU?		(2)
Is there any negative transboundary impact identified?	(3)	

[This section refers to all significant transboundary impacts, including environmental and health impacts, both immediate and long-term impacts. With transboundary it is meant "significant effects on the environment in another state" (according to the EIA Directive)].

(1) If YES, describe the impact of the project beyond the borders of the beneficiary country and on the EU. Focus on impacts on river basins, seas and land. In this context, projects influencing rivers flowing to the seas of the European marine regions (i.e. the Mediterranean Sea and the Black Sea) should receive priority attention. In case of waste and waste water treatment projects specify impact on large towns and cities and on shared coastlines with the EU. For projects in Window 3. Thematic Priority 2: Transport, digital economy and society, and energy explain if the project form part of a Trans-European Network. Refer to any specific agreement with a neighbouring country or EU.

(2) If NO, explain why the project can be considered of EU interest.

(3) In case a negative transboundary impact has been identified, explain it in details and describe the mitigation/restoration measures planned and part of the project. Refer to the procedure stipulated by the Convention on Environmental Impact Assessment in a Transboundary Context (Espoo Convention).]

2.1.4 *Gender Impact of the Project*

[Define if the project is gender sensitive. Was a gender impact analysis conducted? If yes, provide in brief the outcomes of the analysis and specify the measures that have been identified to ensure gender equality principle. If not, an explanation/justification should be provided.]

2.1.5 *Roma inclusion*

[Define if the project contributes to Roma inclusion and if so provide details. Are Roma part of the targeted group? What are the specific measures identified? Were Roma needs considered in the impact assessment?]

2.1.6 *End users*

[Describe the various groups of end users and their interest in the project.]

¹¹ The project has to contribute to achieving the objectives of the relevant Multi-annual Operational Programme and its indicators

2.1.7 Communication and Visibility

[Provide details of the proposed measures to publicise European Union assistance i.e. type of measure, brief description, duration, etc. The estimated costs must be included in the financing plan.]

3. MATURITY OF THE PROJECT

3.1. Project Timetable

[Provide an indicative timeline for the project implementation. Foresee a separate entry line for each contract or phase, where relevant. Where the application concerns a project stage (see section 2.2.2), clearly indicate the elements of the overall project for which assistance is being sought by this application. Provide the implementation details for each line/stage.]

	Covered by this Application (Yes/No)	Start date (A)	Completion date (B)	Implementation details
1. Studies and analysis		dd/mm/yyyy	dd/mm/yyyy	
a. Feasibility study, including				
b. CBA....				
c. Other (specify)				
2. Environmental impact assessment		dd/mm/yyyy	dd/mm/yyyy	
3. Climate proofing ¹²				
4. Conceptual engineering design		dd/mm/yyyy	dd/mm/yyyy	
5. Urbanistic planning for infrastructure development		dd/mm/yyyy	dd/mm/yyyy	

¹² [Commission Notice — Technical guidance on the climate proofing of infrastructure in the period 2021-2027 - Publications Office of the EU \(europa.eu\)](#)

6. Final (detailed) engineering design		dd/mm/yyyy	dd/mm/yyyy	
7. Preparation of Tender documentation		dd/mm/yyyy	dd/mm/yyyy	
8. Permits/Licences ¹³		dd/mm/yyyy	dd/mm/yyyy	
a. ...				
b.				
9. Land acquisition		dd/mm/yyyy	dd/mm/yyyy	
10. Procurement and contracting procedure(s), including technical audits		dd/mm/yyyy	dd/mm/yyyy	
11. Construction phase		dd/mm/yyyy	dd/mm/yyyy	
12. Defect notification period		dd/mm/yyyy	dd/mm/yyyy	
13. Operational phase		dd/mm/yyyy	dd/mm/yyyy	

¹³ Add a line for each permit, i.e. water abstraction permit, construction permit, etc.

3.2. Administrative Context and Requirements

[If not already mentioned under 3.1, provide a list of legal and administrative documents (decisions, authorisations, EIA, land acquisition, invitations to tender, permits, etc.) that would be required for project implementation. Indicate which documents have already been obtained and provide indicated dates for obtaining the remaining documents.]

3.3. Project Feasibility and Maturity

[Summarise the methodology and the main findings of the preparatory phase.]

3.3.1. Feasibility studies

[Specify if the European Union assistance is/was involved in the financing of the feasibility studies]

[Description of the situation as it is (before the project) + problems encountered]

a) Demand analysis

[Provide a summary of the demand analysis, including the predicted utilisation rate on completion and the demand growth rate.]

b) Options considered

[Outline the alternative options considered in the feasibility studies.]

Technical description of the proposed infrastructure

[Short technical description of the project, including envisaged capacity of the main infrastructure, justification of the project scope and size in the context of projected demand, including for each project element a description of technologies and infrastructure to be employed and relevant technical standards that have been used. The technical description of the project should be accompanied by necessary layout maps.]

Project cost estimates

[Describe the methodology used to estimate the costs and a breakdown with unit rates and a summary of the bill of quantities if applicable.]

Implementation stages

	Yes	No
Is the full project organised in stages?		
Has the project already started?		

[Where the project is considered only a stage of a bigger project, provide a description of all proposed stages of implementation and explain if they are technically and financially independent. What criteria have been used to determine the division of the project into stages?]

Arrangements related to infrastructure

[Describe how the infrastructure will be put in place. Focus on the contracts type, selection process of the operator and when applicable, structure of PPP/concession, infrastructure ownership arrangements, risks allocation arrangements, etc.]

Management of the completed infrastructure and operating costs

[Define the body which will manage the infrastructure after the completion. Provide a detailed description on the maintenance of the realised investments (funds, human resources, responsibilities, etc.).]

3.3.2. Financial analysis and Economic appraisal

The following section is structured to report on a fully fledged cost-benefit analysis. However under the cohesion policy 2021-2027 the Economic appraisal vademecum 2021-2027¹⁴ allows to use other evaluation methodologies

The key elements from the financial analysis of the CBA should be summarised below, including the description of the methodology. The full cost-benefit analysis document shall be attached to this application as Annex IV.

A) Financial analysis (if applicable)

Operating costs and Revenues

	EUR million
a)_ Annual operating costs (as per the cost-benefit analysis)	
b) Annual expected revenues (as per the cost-benefit analysis)	

[Indicate first full year of operation;]

- Provide a breakdown of O&M costs, distinguishing between variable and fixed operating and maintenance costs;

- Provide unit cost assumptions used for estimating the O&M costs.b) Revenues

If the project is expected to generate revenues explain:

- the nature of the revenues - tariffs or charges borne by users or other form of arrangement; reference to the relevant regulatory framework and tariff-setting principles, where relevant;

- provide details of charges (types and level of charges - by user group, if appropriate;; fixed and variable components, where relevant; application of the polluter-pays principle, where relevant);

Affordability and Financial Sustainability

Provide evidence of the project financial sustainability (non-negative cumulated net cash-flows over the project reference period);

Where relevant,

- Explain if tariffs are set at full-cost recovery level, e.g. covering operating costs, depreciation and return on capital;
- If tariffs are set at below full-cost recovery: (i) provide a justification based on an affordability analysis (e.g. benchmarking the average annual expenditure for project good/service as a share of average / median and/or lowest-decile household income / expenditure); (ii) explain how financial sustainability is achieved (e.g. subsidies, transfers); (iii) indicate how tariffs are expected to evolve over time to ensure cost-recovery in the longer term.

¹⁴ https://ec.europa.eu/regional_policy/sources/docgener/studies/pdf/cba_guide.pdf

<https://op.europa.eu/s/yBiT>

B) Economic analysis

a) Methodology

[Provide a short description of methodology (key assumptions made in valuing costs and benefits) and the main findings of the economic analysis)]

b) Main costs, benefits and indicators (if a CBA is carried out)

Main parameters and indicators	Values
1. Social discount rate (%)	
2. Economic rate of return (%)	
3. Economic net present value (in Euro)	
4. Benefit-cost ratio	

c) Employment effects of project (if applicable)

Provide an indication of the number of jobs to be created (in full-time equivalents (FTE))
[NB: indirect jobs created or lost are not sought for public infrastructure investments]

Number of jobs directly created:	No. (FTE) (A)	Average duration of these jobs (months) (B)
1. During implementation phase		
2. During operational phase		

4. ENVIRONMENTAL IMPACT

4.1. Project contribution to EU climate and environmental policy and legislation

Outline how does the project contribute to EU climate and environmental policy and legislation, also summarising the the findings of the EIA

- a) How the project contributes to the objective of environmental sustainability (European climate change policy, halting loss of biodiversity, protection and sustainable use of natural resources and ecosystems, etc.) and how it provides any net positive contributions to the state of the environment, to climate change mitigation and to climate change adaptation
- b) How the project respects the principles of preventive action and that environmental damage should as apriority be rectified at source
- c) How the project respects the "polluter pays" principle, in particular through the provisions of the Environmental Liability Directive

4.2. Consultation of Environmental Authorities

	Yes	No
Have the environmental authorities likely to be concerned by the project, been consulted by reason of their specific responsibilities?	(1)	(2)

(1) If YES, provide the name(s) and contact data and explain that authority's responsibility.

(2) If NO, provide the reasons.

4.3. Environmental Impact Assessment

[Indicate the main adverse impacts on the environment and human health expected from the project, and the measures that will be implemented to avoid and/or minimise such impacts. Make a distinction between impacts from the construction, operation and decommissioning phases.]

4.3.1 Development Consent¹⁵

	Yes	No
Has development consent already been given to this project?	(1)	(2)
Has the opinion of the competent environmental authorities been followed in the development consent decision? If not, briefly explain why.		
Have (and how) the conclusions from the public participation (and transboundary consultations, if relevant) been incorporated or otherwise addressed in the decision to grant the development consent? If no, or only partially, briefly explain why.		

(1) If YES, on which date?

(2) If NO, when was the formal request for the development consent introduced? By which date is the final decision expected?

¹⁵ 'development consent' means the decision of the competent (national) authority or authorities which entitles the developer to proceed with the project.

Specify the competent authority or authorities, which has given or will give the development consent.

4.3.2 EU legislation on Environmental Impact Assessment (EIA) in force¹⁶

Is the project a class of development covered by:

	Yes	No
Annex I of the Directive	(1)	
Annex II of the Directive		
Has an Environmental Impact Assessment been carried out for this project?		(2)
Neither of the two annexes		

(1) When covered by Annex I or Annex II of the Directive, provide the following attachments:

- a. Non-technical summary¹⁷ of the Environmental Impact Study carried out for the project.
- b. Information on consultations with competent environmental authorities, indicating in what way the concerns of the designed consultees have been taken into account.
- c. Results of the consultations with the public concerned¹⁸.
- d. Trans boundary consultations with those states affected by the project need to be provided, demonstrating that the procedure of art.7 of the EIA directive has been applied. Indication in what way the concerns of the designed consultees and concerned public have been taken into account should be provided.
- e. Evidence that the decision to grant or refuse development consent has been available to the public by the competent authority¹⁹.

In relation to point b., c. and d., project proponents should be informed that these may be represented in the form of a statement, conclusion or certification by the competent

¹⁶ See at https://ec.europa.eu/environment/eia/index_en.htm.

¹⁷ - a description of the project comprising information on the site, design and size of the project,
 - a description of the measures envisaged in order to avoid, reduce and, if possible, remedy significant adverse effects
 - the data required to identify and assess the main direct and indirect effects which the project is likely to have on the environment on the following factors:
 a) human beings, fauna and flora (including those environmentally sensitive areas which might fall in future under the protection of the Birds Directive (79/409/EEC, as amended and codified by Directive 2009/147/EC) and Habitats Directive (92/43/EEC);
 b) soil, water, air, climate and landscape;
 c) material assets and the cultural heritage;
 - interaction between the factors mentioned in the first, second and third indents.
 - any further information which might arise from any of the obligations deriving from Annex IV of the EIA Directive.

¹⁸The information provided should cover the following:
 - the concerned public which has been consulted,
 - the places where the information has been consulted,
 - the time which has been given to the public in order to express its opinion,
 - the way in which the public has been informed (for example, by bill-posting within a certain radius, publication in local newspapers, organisations of exhibitions with plans, drawings, tables, graphs, models, etc.),
 - Has the public been informed and given an early and effective opportunity to participate in the environmental decision-making procedure, in accordance with Art. 6 of the EIA Directive?
 - explain the manner in which the public has been consulted (for example, by written submissions, by public enquiry, etc.)
 - the way in which the concerns of the public have been taken into account.

¹⁹Including:
 - the content of the decision and conditions attached thereto,
 - the main reasons and considerations on which the decision has been based,
 - a description, where necessary, of the main measures to avoid, reduce and, if possible, offset the major adverse effects.

environmental authorities testifying that all obligation as described in the items above have been followed and describing how.

(2) If no EIA was carried out for projects covered by Annex II, explain the reasons and give the thresholds, criteria or case by case examination carried out to reach the conclusion that the project has no significant environmental effects. Explain how the transboundary impacts have been analysed, and describe how and which have been the conclusions of the analysis. Refer to the the screening opinion/conclusion given by the EIA Competent Authority and provide evidence that the determination (the EIA screening decision) has been made available to the public.

4.4. Assessment of Effects on Sites of Nature Conservation Importance

Definition of sites of nature conservation importance	
<ul style="list-style-type: none"> a) Sites identified by the competent national authorities as sites to be proposed for the Natura 2000 network as laid down in the Birds Directive (2009/147/EC) and Habitats Directive (92/43/EEC); b) Sites listed in the latest inventory of Important Bird Areas (IBA 2000) for candidate countries or (if available) equivalent more detailed scientific inventories endorsed by national authorities; c) Wetlands of international importance designated under the Ramsar Convention or qualifying for such protection; d) Areas to which the Bern convention on the conservation of European Wildlife and Natural Habitats¹ (Art.4) applies, in particular sites meeting the criteria of the Emerald network; e) Areas protected under national nature conservation legislation. 	

	Yes	No
Is the project likely to have (direct or indirect) effects, individually or in combination with other plans or projects on sites included or intended to be included in sites of nature conservation importance	(1)	(2)
Are these effects significant negative, requiring compensation measures according to Article 6 (4) of the Habitats Directive	(3)	

(1) If YES, provide a summary of the conclusions of the appropriate assessment carried out "according to Article 6 (3) of Directive 92/43/EEC²⁰".

(2) If NO, attach the declaration, filled in by the relevant authority, as per Annex I of this application form.

(3) If YES, provide a copy of the form "Information on projects likely to have significant negative effect on sites of nature conservation importance", as notified to the Commission (DG Environment) under Habitat Directive 92/43/EEC²¹.

²⁰ OJ L 206 of 22.07.1992

²¹ Document 99/7 rev.2 adopted by the Habitats Committee (Member States representatives established under Directive 92/43/EEC) at its meeting on 04.10.99.

Effects on the ecological status of water bodies

	Yes	No
Does the project involve a new modification to the physical characteristics of a surface water body or alterations to the level of bodies of groundwater which deteriorate the status/potential of a water body or cause failure to achieve good water status/potential?	(1)	(2)

(1) If YES, provide the assessment of the impacts on the water body and a detailed explanation of how all the conditions under Article 4.7 of the Water Framework Directive were/are to be fulfilled. Indicate also whether the project results from a national/regional strategy in relation to the relevant sector and/or from a river basin management plan, which takes into account all relevant factors (e.g. a better environmental option, cumulative effects, etc.)? If so, please provide full details.

(2) If NO, provide justification for each water body why the project is not likely to lead to deterioration of ecological status/potential or does not prevent the water body to reach good ecological status/potential.

4.5. Additional Environmental Integration Measures

	Yes	No
Does the project envisage, apart from Environmental Impact Assessment, any additional environmental integration measures (e.g. environmental audit, environmental management, specific environmental monitoring)?	(1)	

(1) If YES, specify.

4.6. Cost of Measures Taken for Correcting Negative Environmental Impacts

	%
If included in total cost, estimate proportion of cost of measures taken to reduce and/or to compensate for negative environmental impacts	

Explain briefly.

4.7. Climate-resilience and climate mitigation

Explain the main climate related risks, and how they are going to be addressed. If possible use the Building Resilience Index²². Explain the methodology applied.²³ List the adaptation measures identified and how they are integrated into the project. Clarify if climate change resilience has been integrated into the EIA for the project.

Indicate the main impacts on climate expected from the project (e.g. carbon footprint), and the measures that will be implemented to avoid and/or minimise such impacts. If possible, make a distinction between impacts from the construction, operation and decommissioning phases.

²² <https://www.resilienceindex.org/>

²³ Use this as a basis [Commission Notice — Technical guidance on the climate proofing of infrastructure in the period 2021-2027 - Publications Office of the EU \(europa.eu\)](#)

Indicate the climate vulnerability of the project and what measures will be implemented to ensure its climate proofing.

5. PROJECT FINANCING

5.1. Financing Plan

- Complete the table below. **Example is provided for guidance purposes.** The table is also available in xls. Adjust the table to the project specifics.
- List all cost groups on a separate line.
- As private expenditure is not eligible for financing under the activity, it shall be included – if any – under “ineligible costs”

Budget headings	TOTAL PROJECT COSTS	INELIGIBLE COSTS	ELIGIBLE COSTS
	EUR	EUR	EUR
	(A)	(B)	(C)=(A)-(B)
1. Planning/design fees	1,600,000	500,000	1,100,000
Pre-feasibility study	20,000		20,000
Feasibility study	550,000		550,000
Socio-economic analysis	150,000		150,000
Urban planning gap assessment	300,000		300,000
Cost benefit analysis	500,000	500,000	
Gender impact analysis	80,000		80,000
Other			
2. Land purchase	8,000,000	8,000,000	0
Land acquisition	8,000,000	8,000,000	
3. Construction	300,000,000	50,000,000	250,000,000
Main infrastructure A	20,000,000		20,000,000
Main infrastructure A	150,000,000		150,000,000
Main infrastructure A	80,000,000		80,000,000
Main infrastructure B	50,000,000	50,000,000	

Budget headings	TOTAL PROJECT COSTS	INELIGIBLE COSTS	ELIGIBLE COSTS
	EUR	EUR	EUR
	(A)	(B)	(C)=(A)-(B)
4. Plant and machinery²⁴			
5. Technical assistance			
6. Communication and Visibility			
7. Supervision			
8. Sub-TOTAL	309,600,000	58,500,000	251,100,000
1. Contingency ²⁵			
10. TOTAL	309,600,000	58,500,000	251,100,000
11. VAT			

²⁴ Only in case this represents a separate tender procedure

²⁵ Maximum 15% of the total budget

Calculation of EU funding requested

		Value
1.	Eligible cost (in euro)	251,000,000
2.	Co-financing rate (%)	85%
3.	EU funding request (in euro)	231, 350,000

Ineligible costs comprise (i) expenditure outside the eligibility period, (ii) expenditure ineligible under applicable EU and national rules, (iii) other expenditure not presented for co-financing.

The starting date for eligibility of expenditure is the date of the approval letter of the Major Project.

IPA III implementing regulation sets (Article 5): 3. Financing agreements shall provide the terms on which the IPA III assistance shall be granted, including the applicable methods of implementation of IPA III assistance, implementation deadlines, as well as rules on the eligibility of expenditure.

The beneficiary's national authorities are reminded that Major Project contracts have to be registered in the IPA APP application upon their award.

Funding sources (Entity – Country of origin – Public-private)	Funding amounts	% to total financing

5.2.Competition

	Yes	No
Does this project involve State Aid at any stage, including during operation of the complete infrastructure?	(1)	

[(1) If YES, explain how the competition rules have been respected. Provide an opinion of the state aid authority in English.]

[If no, please explain in detail the basis for establishing that the project does not involve state aid]

5.3.Procurement Plan

Provide an indicative procurement plan for the contracts to be implemented with EU funding, In cases where contracts have been already published in the Official Journal of the European Union/TED, provide reference. Make sure full compliance is achieved with the project timetable as set in section 3.1. above.

Contract	Contract type	Estimated budget in EUR	Procedure Type	Estimated start of the procurement procedure	Estimated date for contract signature	Estimated contract duration
...			

6. Sensitivity and Risk analysis

6.1.Risk Analysis

6.1.1 Sensitivity of the CBA results

a) State the percentage change applied to the variables tested:

Variable tested	percentage change applied
Investment	
O&M	

b) Present the estimated effect on results of financial and economic performance indexes.

Variable tested	Financial Rate of Return variation	Financial Net Present Value variation	Economic Rate of Return variation	Economic Net Present Value variation

c) Critical variables

- Define which variables were identified as critical variables
- Indicate which criterion has been applied.
- define the switching values of the critical variables

6.1.2 Risk analysis

Identify the main risks (including environmental and climate change risks), state what type of risk analysis were performed.

Category	Risks	Likelihood (High/ Medium/ Low)	Impact (High/ Medium/ Low)	Mitigating measures
	Risk 1			
	Risk 2			
	Risk #			

6.2.Stakeholders' involvement

- a) Describe the stakeholders and users and how they have been involved and consulted in the project identification and formulation stage.
- b) Is there a risk for the project implementation coming from resistance from specific stakeholders? Reflect this in the table above.

7 ANNEXES

List all annexes as requested and provided with this application.

8 ENDORSEMENT OF COMPETENT NATIONAL AUTHORITY

I confirm that the information presented in this form is accurate and correct.

NAME:

SIGNATURE:

ORGANISATION:

DATE:

ANNEX I
DECLARATION BY AUTHORITY RESPONSIBLE FOR
MONITORING SITES OF NATURE CONSERVATION
IMPORTANCE

Responsible Authority _____

Having examined the project application _____

Which is to be located at _____

Declares that the project is not likely to have significant effects on a site of nature conservation importance on the following grounds (provide the name of the relevant site(s), reference number, the distance of the project to the nearest site(s) of nature conservation importance, its conservation objectives, and justification that project (either individually or in combination with other projects) is not likely to have significant negative effects on the site(s) of nature conservation importance and, if relevant, an administrative decision (i.e. the appropriate assessment screening decision)):

--

Therefore an appropriate assessment required by Article 6 (3) of Habitat Directive 92/43/EEC was not deemed necessary.

A map at scale of 1:100.000 (or the nearest possible scale) is attached, indicating the location of the project as well as the *sites of nature conservation importance* concerned, if any.

Date (dd/mm/yyyy): _____

Signed: _____

Name: _____

Position: _____

Organisation: _____

(Authority responsible for monitoring *nature conservation importance* sites)

Official Seal: